Serial No. 10/602,465

Docket No. 130817

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 1 7 2004

Applicant: KAI F. GOEBEL, ET AL

Serial No.: 10/602,465

Filed: June 23, 2003

FOR METHOD, SYSTEM AND COMPUTER BADE

CRYSTAL PLAZA II, LBY RM 1B03 ARLINGTON, VA 22202-0001

PRODUCT FOR ESTIMATING A REMAINING

EQUIPMENT LIFE

Group Art Unit: 2184

Examiner:

INFORMATION DISCLOSURE STATEMENT

X 1	Pursuant to 37CFR 1.97(b) [within 3 months of national, non-CPA filling or prior to 1st Office Action] no charge
2	Certification Pursuant to 37 CFR 1.97(c)(1)
	[before Final Office Action, Allowance, or other action closing prosecution] no charge
3	Fee Payment Pursuant to 37 CFR 1.97(c)(2) [before Final Office Action, Allowance, or other action closing prosecution] \$180.00
4	Certification & Fee Payment Pursuant to 37 CFR 1.97(d)
	[On or before issue fee payment] \$180.00
ATTN:	MAILSTOP PATENT APPLICATION
US PA	TENT & TRADEMARK OFFICE
2011 S	S. CLARK PLACE

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.								
Date of Deposit								
Type or Print Name								
Signature								

This paper is submitted in	accordance with:							
		of national, non-CPA filing or prior to 1st Office						
	R 1.97(c): [before Final Offig prosecution, whichever is expression.	ce Action, Allowance, or other action earlier]; and						
□ 8	The required Certification ma	ade in item 11 below;						
		n 37 CFR 1.17(p) for submission of Statement is authorized in item 15 below.						
10 37 CFR 1.97(d): [on or before issue fee payment]; and								
a)	The required Certification is	ed Certification is stated in item 11 below; and						
		n 37 CFR 1.17(p) for submission of Statement is authorized in item 14 below.						
11 Certification								
<u> </u>	any communication from	ntained in this Statement was first cited in a foreign patent office in a counterpart not more than three months prior to the						
□ 13	tatement was cited in a communication in a counterpart foreign application and, son signing this document after making em of information contained in this any individual designated in 37 CFR) months prior to the filing date of this							
🔀 14 Paym	ent of all applicable fees:							
15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868								
An original and two (2) co	pies of this document are en	closed.						
		Respectfully submitted,						
11 Fcb	04	am gt						
Date	·	Attorney - ANN M. AGOSTI						
General Electric Company CRD Patent Docket Rm 4A5 P.O. Box 8, Bldg. K-1 Schenectady, New York 12: Customer Number: 006147		Registration No. 37,372 Phone: (518) 387-7713 or (518) 387-7122						

page 2 of 2

FORM PTO-1449 (REV. 7-80)		US. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				KET NO.	SERIAL NO. 10/602,465		
	INF	INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS Applicant KAI F. GOEBEL, ET AL							
				FEB 1 7 2004	Filing Date 6/23/03		Group 2184		
	U.S. PATENT DOCUMENTS MARKET								
*EXAMINER INITIAL	+	OCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	AA	2002/0143421	10/03/02	WETZER		<u> </u>			
	AB	5,780,725	07/14/98	TANAKA		<u> </u>			
	AC	6,278,920	8/21/01	HEBBERD		<u> </u>			
	AD	6,408,258	6/18/02	RICHER					
	AE		1		j	<u> </u>	<u> </u>		
			17	FOREIGN PATENT DOCUMENTS		114.2 1 - 10 m			
		UMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
	Bl	EP1160712 A2	05/12/01	EPO					
		OTI	IER INFORMA	TION (Including Author, Title, Date, Pertin	nent Pages, etc.) * * * * * * * * * * * * * * * * * * *			
	C1 M. Nelson and K. Mason, "A Model-Based Approach to Information Fusion". Proc. Information, Decision, and Control, pp. 395-400, 1999.								
	C2	 A. Loskiewicz-Buczak, R. Uhrig, "Decision Fusion by Fuzzy Set Operations", Proc. third IEEE Conf. Fuzzy Systems, Vol. 2, pp.1412-1417, 1994. A. Rahman and M. Fairhurst, "Towards a Theoretical Framework for Multi-Layer Decision Fusion", Proc. IEEE Third Europe,. Workshop on Handwriting Analysis and Recognition, pp. 7/1-7/7, 1998. 							
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	C4	K. Goebel, Architecture and Design of a Diagnostic Information Fusion Tool, AIEDAM: special edition AI in Equipment Service, vol. 15 no. 4, pp. 335-348, 2001.							
	C5	A. M. Law, et al, "Simulation Modeling and Analysis", Third Edition, McGraw Hill Inc., NY, 2000, pp. 302-305.							
	C6	P. Smets, et al, "What is Dempster-Shafer's Model"", Advances in the Dempster-Shafer Theory of Evidence, 1994, pp. 5-34.							
	C7	TR Gruber, "A Translation Approach to Portable Ontology Specifications", Knowledge Systems laboratory, Technical Report KSL 92-71, September 1992, pp. 1-23.							
	C8	MA Musen, "Dimensions of Knowledge Sharing and Reuse", Computers and Biomedical Research 25, 1992, pp. 435-467.							
·	C9	Deborah McGuiness, et al, "An Environment for Merging and Testing Large Ontologies" Principles of Knowledge Representation and Reasoning: Proceedings of the Seventh International Conference (KR2000), 2000, 11 pages.							
EXAMINER					DATE CON	SIDERED			
EXAMINER: Initia	al if refere	ence considered, whether	or not citation is in	conformance with MPEP 609; Draw line through	citation if not in o	conformance and no	ot considered. Include copy		
of this form with n	ext comm	nunication to applicant							